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Attorneys for Creditor, Roosevelt Fund, L.P.

UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF CALIFORNIA
OAKLAND DIVISION

In re:

EDWARD SEWON EHEE,

Debtor.

Case No. 07-40126
Chapter 7

In re:

COMPASS FUND MANAGEMENT,

Debtor.

Case No. 07-40129
Chapter 7

In re:

COMPASS WEST FUND L.P.,

Debtor.

Case No. 07-40130
Chapter 7

**REQUEST FOR JUDICIAL NOTICE IN
SUPPORT OF MOTION FOR JOINT
ADMINISTRATION AND REQUEST FOR
A STATUS CONFERENCE**

Date: May 3, 2007
Time: 3:00 p.m.
Place: Courtroom 201
Judge: Hon. Leslie J. Tchaikovsky

1 TO THE COURT, ALL PARTIES AND TO THEIR ATTORNEYS OF RECORD:

2 PLEASE TAKE NOTICE that pursuant to Rule of Evidence 201, Roosevelt Fund, L.P.
3 (“Roosevelt Fund”)¹ by and through its counsel, Nixon Peabody LLP, respectfully request that the
4 Court take judicial notice of the following documents in support of Roosevelt Fund’s motion for
5 entry of an order directing the joint administration of the above captioned chapter 7 cases and
6 ordering a status conference to be held on May 3, 2007, or the Court’s earliest convenience:

7 A. Case Docket for U.S. Bankruptcy Court, Northern District of California (San
8 Francisco), Bankruptcy Case No. 07-40126, a copy of which is attached as Exhibit A.

9 B. Case Docket for U.S. Bankruptcy Court, Northern District of California (San
10 Francisco), Bankruptcy Case No. 07-40129, a copy of which is attached as Exhibit B.

11 C. Case Docket for U.S. Bankruptcy Court, Northern District of California (San
12 Francisco), Bankruptcy Case No. 07-40130, a copy of which is attached as Exhibit C.

13 D. Case Docket for U.S. District Court, Northern District of California (San
14 Francisco), Civil Case No. 06-06966, a copy of which is attached as Exhibit D.

15 A court can take judicial notice of the contents of court files. *See Mullis v. United States*
16 *Bankr. Court.*, 828 F.2d 1385, 1388 n.9 (9th Cir. 1987).

17
18 Dated: April 9, 2007

Respectfully Submitted,

19 NIXON PEABODY LLP

20
21 By /s/ James S. Monroe
James S. Monroe, Esq.
22 Attorneys for Creditor,
Roosevelt Fund, L.P.
23
24
25

26
27 ¹ Roosevelt Fund, L.P. was previously known as A.A.G. Roosevelt Fund, L.P. and its official corporate name was
previously Anira Advisory Group Roosevelt Fund, L.P.
28

EXHIBIT A

**U.S. Bankruptcy Court
Northern District of California (Oakland)
Bankruptcy Petition #: 07-40126**

Assigned to: Judge Leslie J. Tchaikovsky
Chapter 7
Involuntary
No asset

Date Filed: 01/12/2007

Debtor

Edward Sewon Ehee
6122 Acacia Avenue
Oakland, CA 94618
SSN: xxx-xx-0000

represented by **James D. Wood**
Law Offices of James D.
Wood
3675 Mt. Diablo Blvd. #250
Lafayette, CA 94549-3775
(925)284-9663
Email: jdwood@jdwoodlaw.com

Petitioning Creditor

Roosevelt Fund, L.P.
c/o KS Global Advisors, Inc. #364
336 Bon Air Center
Greenbrae, CA 94904

represented by **James S. Monroe**
Law Offices of Nixon
Peabody
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3996
(415) 984-8200
Email:
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U.S. Trustee

Office of the U.S. Trustee /Oak
Office of the U.S. Trustee
1301 Clay St. #690N
Oakland, CA 94612
(510) 637-3200

Filing Date	#	Docket Text
01/12/2007	1	Chapter 7 Involuntary Petition. Fee Amount \$299. Filed by Petitioning Creditor (s) Roosevelt Fund, L.P. (attorney James S. Monroe) (Monroe, James) Modified on 1/16/2007 THE COURT REMOVED THE ALAISES ENTERED FOR THE PETITIONING CREDITOR TO REFLECT WHAT IS ON THE PETITION.(jaw,). (Entered: 01/12/2007)
01/12/2007	2	Summons Not Executed on Edward Sewon Ehee Filed by Roosevelt Fund, L.P. (Monroe, James) Modified on 1/16/2007 INCORRECT DOCKET EVENT SELECTED.(jaw,). (Entered: 01/12/2007)
01/12/2007		Receipt of filing fee for Involuntary Petition (Chapter 7)(07-40126)

		[misc,invol7] (299.00). Receipt number 3908651, amount \$ 299.00 (U.S. Treasury) (Entered: 01/12/2007)
01/16/2007		**ERROR** INCORRECT DOCKET EVENT SELECTED. IN THE FUTURE USE THE CM/ECF PATH: BANKRUPTCY->SUMMONS->INVOLUNTARY SUMMONS SERVICE UNEXECUTED. NO ACTION REQUIRED. THE CMA WILL ISSUE THE SUMMONS. (RE: related document(s)2 Involuntary Summons Service Unexecuted). (jaw,) (Entered: 01/16/2007)
01/16/2007		**CORRECTIVE ENTRY** The Court removed the aliases entered for the petitioning creditor to reflect what is on the petition. (RE: related document(s)1 Involuntary Petition (Chapter 7), Involuntary Petition (Chapter 7)). (jaw,) (Entered: 01/16/2007)
01/17/2007	3	Involuntary Summons Issued on Edward Sewon Ehee . (jaw,) (Entered: 01/17/2007)
02/05/2007	4	Summons Service Executed in an Involuntary Case on Edward Sewon Ehee 1/22/2007, Answer Due 2/12/2007 Filed by Roosevelt Fund, L.P. (Monroe, James) (Entered: 02/05/2007)
02/21/2007	5	Request for Notice Filed by Creditor EMC Mortgage Corporation (Attachments: # 1 Certificate of Service) (Wolf, Alan) (Entered: 02/21/2007)
02/22/2007	6	Stipulation to Extend Time <i>to respond to involuntary petition</i> Filed by Debtor Edward Sewon Ehee (RE: related document(s)4 Involuntary Summons Service Executed filed by Petitioning Creditor Roosevelt Fund, L.P., 1 Involuntary Petition (Chapter 7), Involuntary Petition (Chapter 7) filed by Debtor Edward Sewon Ehee, Petitioning Creditor Roosevelt Fund, L.P.). (Wood, James) (Entered: 02/22/2007)
02/22/2007	7	Certificate of Service (RE: related document(s)6 Stipulation to Extend Time,). (Wood, James) (Entered: 02/22/2007)
02/28/2007	8	Answer to Involuntary Petition (<i>certificate of service attached</i>) Filed by Edward Sewon Ehee. (Wood, James) (Entered: 02/28/2007)
04/04/2007	9	Request for Notice Filed by Creditor eCAST Settlement Corporation. (Weisman, Gilbert) (Entered: 04/04/2007)

PACER Service Center

Transaction Receipt			
04/06/2007 14:38:55			
PACER Login:	nh0029	Client Code:	
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Billable Pages:	1	Cost:	0.08

EXHIBIT B

**U.S. Bankruptcy Court
Northern District of California (Oakland)
Bankruptcy Petition #: 07-40129**

Assigned to: Judge Leslie J. Tchaikovsky
Chapter 7
Involuntary
No asset

Date Filed: 01/12/2007

Debtor

Compass Fund Management, LLC
6122 Acacia Avenue
Oakland, CA 94618
SSN: xxx-xx-0000

represented by **Compass Fund
Management, LLC**
PRO SE

Petitioning Creditor

Roosevelt Fund, L.P., *Petitioner*
c/o KS Global Advisors, Inc.
336 Bon Air Center
#364
Greenbrae, CA 94904
U.S.A.

represented by **James S. Monroe**
Law Offices of Nixon
Peabody
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San Francisco, CA 94111-
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(415) 984-8200
Email:
jmonroe@nixononpeabody.com

fka

A.A.G. Roosevelt Fund, L.P.

fka

Anira Advisory Group Roosevelt Fund, L.P.

U.S. Trustee

Office of the U.S. Trustee /Oak
Office of the U.S. Trustee
1301 Clay St. #690N
Oakland, CA 94612
(510) 637-3200

Filing Date	#	Docket Text
01/12/2007	<u>1</u>	Chapter 7 Involuntary Petition. Fee Amount \$299. Filed by Petitioning Creditor (s) Roosevelt Fund, L.P. (attorney James S. Monroe) (Monroe, James) Modified on 1/16/2007 RE: STATISTICAL DATA: FILER SELECTED INDIVIDUAL INSTEAD OF CORPORATION FOR TYPE OF DEBTOR . (pw,). (Entered: 01/12/2007)
01/12/2007	<u>2</u>	Summons Not Executed on Compass Fund Management, LLC Filed by Roosevelt Fund, L.P. (Monroe, James) Modified on 1/16/2007 WRONG DOCKET EVENT USED. CORRECT PATH IS ADVERSARY->COMPLAINT/SUMMONS-> SUMMONS TO BE ISSUED. (pw,). (Entered: 01/12/2007)

01/12/2007		Receipt of filing fee for Involuntary Petition (Chapter 7)(07-40129) [misc,invol7] (299.00). Receipt number 3908651, amount \$ 299.00 (U.S. Treasury) (Entered: 01/12/2007)
01/16/2007		**CORRECTIVE ENTRY** Court corrected Type of Debtor to Corporation. (RE: related document(s)1 Involuntary Petition (Chapter 7), Involuntary Petition (Chapter 7)). (pw,) (Entered: 01/16/2007)
01/17/2007	3	Involuntary Summons Issued on Compass Fund Management, LLC . (pw,) (Entered: 01/17/2007)
02/05/2007	4	Summons Service Executed in an Involuntary Case on Compass Fund Management, LLC 1/22/2007, Answer Due 2/12/2007 Filed by Roosevelt Fund, L.P. (Monroe, James) Modified on 2/6/2007 PDF DOES NOT INCLUDE SUMMONS. (pw,). (Entered: 02/05/2007)
02/22/2007	5	Stipulation to Extend Time <i>to respond to involuntary petition</i> Filed by Interested Party Edward Sewon Ehee (RE: related document(s)4 Involuntary Summons Service Executed filed by Petitioning Creditor Roosevelt Fund, L.P., 1 Involuntary Petition (Chapter 7), Involuntary Petition (Chapter 7) filed by Petitioning Creditor Roosevelt Fund, L.P., Debtor Compass Fund Management, LLC). (Wood, James) (Entered: 02/22/2007)
02/22/2007	6	Certificate of Service (RE: related document(s)5 Stipulation to Extend Time,). (Wood, James) (Entered: 02/22/2007)
03/29/2007	7	Document: <i>Request of Roosevelt Fund, L.P., as Petitioning Creditor, for Entry of an Order For Relief Requested in the Involuntary Petition Pursuant to Fed. R. Bankr. P. 1013(b)</i> . Filed by Petitioning Creditor Roosevelt Fund, L.P. (Attachments: # 1 Proposed Order) (Monroe, James) (Entered: 03/29/2007)
03/30/2007	8	Certificate of Service (RE: related document(s)7 Document,). (Monroe, James) (Entered: 03/30/2007)
04/05/2007	9	Order Transferring Cases . (pw,) Modified on 4/6/2007 ERROR; INCORRECT PDF ATTACHED. PLEASE SEE DOC. # 11. (pw,). (Entered: 04/05/2007)
04/05/2007	10	Judge Leslie J. Tchaikovsky added to case. Involvement of Judge Edward D. Jellen Terminated . (pw,) (Entered: 04/05/2007)
04/05/2007	11	Order transferring cases. (pw,) (Entered: 04/06/2007)

PACER Service Center			
Transaction Receipt			
04/06/2007 14:39:24			
PACER Login:	nh0029	Client Code:	
Description:	Docket Report	Search Criteria:	07-40129 Fil or Ent: filed From: 2/5/2005 To: 4/6/2007 Doc From: 0 Doc To: 99999999 Term: included Format: HTML
Billable Pages:	2	Cost:	0.16

EXHIBIT C

**U.S. Bankruptcy Court
Northern District of California (Oakland)
Bankruptcy Petition #: 07-40130**

Assigned to: Judge Leslie J. Tchaikovsky
Chapter 7
Involuntary
No asset

Date Filed: 01/12/2007

Debtor

Compass West Fund L.P.
6122 Acacia Avenue
Oakland, CA 94618
Tax id: 00-0000000

represented by **Compass West Fund L.P.**
PRO SE

Petitioning Creditor

Roosevelt Fund, L.P., Petitioner
c/o KS Global Advisors, Inc.
336 Bon Air Center
#364
Greenbrae, CA 94904
U.S.A.

represented by **James S. Monroe**
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fka

A.A.G. Roosevelt Fund, L.P.

fka

Anira Advisory Group Roosevelt Fund, L.P.

Trustee

Lois I. Brady
P.O. Box 12754
Oakland, CA 94604
(510) 452-4200

U.S. Trustee

Office of the U.S. Trustee /Oak
Office of the U.S. Trustee
1301 Clay St. #690N
Oakland, CA 94612
(510) 637-3200

Filing Date	#	Docket Text
01/12/2007	<u>1</u>	Chapter 7 Involuntary Petition. Fee Amount \$299. Filed by Petitioning Creditor (s) Roosevelt Fund, L.P. (attorney James S. Monroe) (Monroe, James) (Entered: 01/12/2007)
01/12/2007	2	Summons Not Executed on Compass West Fund L.P. Filed by Roosevelt Fund, L.P. (Monroe, James) Modified on 1/16/2007

		WRONG EVENT CODE USED.(vmb,). (Entered: 01/12/2007)
01/12/2007		Receipt of filing fee for Involuntary Petition (Chapter 7)(07-40130) [misc,invol7] (299.00). Receipt number 3908651, amount \$ 299.00 (U.S. Treasury) (Entered: 01/12/2007)
01/16/2007		**ERROR** WRONG EVENT CODE USED. FOR FUTURE REFERENCE USE INVOLUNTARY SUMMONS SERVICE UNEXECUTED. NO FURTHER ACTION IS REQUIRED AT THIS TIME (RE: related document(s) 2 Involuntary Summons Service Unexecuted). (vmb,) (Entered: 01/16/2007)
01/17/2007	<u>3</u>	Involuntary Summons Issued on Compass West Fund L.P. . (vmb,) (Entered: 01/17/2007)
02/05/2007	<u>4</u>	Summons Service Executed in an Involuntary Case on Compass West Fund L.P. 1/22/2007, Answer Due 2/12/2007 Filed by Roosevelt Fund, L.P. (Monroe, James) (Entered: 02/05/2007)
02/20/2007	5	Order for Relief In An Involuntary Case Under A Chapter 7. . Order Meeting of Creditors due by 2/27/2007. (lb,) (Entered: 02/21/2007)
02/22/2007	6	Stipulation to Extend Time <i>to respond to involuntary petition</i> Filed by Partner Edward Sewon Ehee (RE: related document(s) <u>1</u> Involuntary Petition (Chapter 7) filed by Petitioning Creditor Roosevelt Fund, L.P., Debtor Compass West Fund L.P., <u>4</u> Involuntary Summons Service Executed filed by Petitioning Creditor Roosevelt Fund, L.P.). (Wood, James) (Entered: 02/22/2007)
02/22/2007	7	Order and Notice Regarding Failure of the Debtor(s) to File Schedules, Statements, or Other Required Documents . Non-Compliance (Documents) due by 3/12/2007 (lb,) (Entered: 02/22/2007)
02/22/2007	8	Certificate of Service (RE: related document(s) <u>6</u> Stipulation to Extend Time,). (Wood, James) (Entered: 02/22/2007)
02/23/2007	9	BNC Certificate of Mailing (RE: related document(s) <u>5</u> Order for Relief (Ch.7)). Service Date 02/23/2007. (Admin.) (Entered: 02/23/2007)
02/24/2007	<u>10</u>	BNC Certificate of Mailing (RE: related document(s) <u>7</u> Order to File Missing Documents). Service Date 02/24/2007. (Admin.) (Entered: 02/24/2007)
03/22/2007		Meeting of Creditors . 341(a) meeting to be held on 4/17/2007 at 11:00 AM Oakland U.S. Trustee Office (jkw,) (Entered: 03/22/2007)

03/22/2007	<u>11</u>	Notice of Chapter 7 Bankruptcy Case, Meeting of Creditors, and Deadlines (Generated) . (jkw,) (Entered: 03/22/2007)
03/24/2007	13	BNC Certificate of Mailing - Meeting of Creditors. (RE: related document(s)) Generate 341 Notices. Service Date 03/24/2007. (Admin.) (Entered: 03/24/2007)
04/05/2007	14	Order Transferring Case From Judge Newsome to Judge Tchiakovsky. (lb,) Modified on 4/5/2007 NO COURT SERVICE LIST WAS ATTACHED.(lb,). (Entered: 04/05/2007)
04/05/2007		Judge Leslie J. Tchaikovsky added to case . (lb,) (Entered: 04/05/2007)

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Transaction Receipt			
04/06/2007 14:39:47			
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Billable Pages:	2	Cost:	0.16

EXHIBIT D

ADRMOP, E-Filing, REFSET-BZ, RELATE

**U.S. District Court
California Northern District (San Francisco)
CIVIL DOCKET FOR CASE #: 3:06-cv-06966-SI**

Securities and Exchange Commission v. Viper Capital
Management, LLC et al
Assigned to: Hon. Susan Illston
Related Case: 3:06-cv-07270-SI
Cause: 15:77 Securities Fraud

Date Filed: 11/08/2006
Jury Demand: Plaintiff
Nature of Suit: 850
Securities/Commodities
Jurisdiction: U.S. Government Plaintiff

Plaintiff**Securities and Exchange Commission**represented by **John S. Yun**

Securities & Exchange Commission
44 Montgomery St., Suite 2600
San Francisco, CA 94104
415-705-2500
Fax: 415-705-2501
Email: yunj@sec.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Xavier Carlos Vasquez
Securities And Exchange Commission
44 Montgomery Street
Suite 1100
San Francisco, CA 94104
(415) 705-2321
Fax: 415-705-2501
Email: vasquezc@sec.gov
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Helane L. Morrison
U.S. Securities & Exchange
Commission
San Francisco District Office
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ATTORNEY TO BE NOTICED

Robert S. Leach
U.S. Securities and Exchange
Commission

44 Montgomery Street
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San Francisco, CA 94104
415-705-2500
Fax: 415-705-2501
Email: leachr@sec.gov
ATTORNEY TO BE NOTICED

V.

Defendant

Viper Capital Management, LLC

represented by **Ismail Jomo Ramsey**
Ramsey & Ehrlich LLP
803 Hearst Avenue
Berkeley, CA 94710
510-548-3600
Fax: 510-548-3601
Email: izzy@ramsey-ehrich.com
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Defendant

Compass Fund Management, LLC

represented by **Ismail Jomo Ramsey**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Defendant

Edward Sewon Ehee

represented by **Ismail Jomo Ramsey**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Defendant

Compass West Fund, LP
Relief defenant

represented by **Ismail Jomo Ramsey**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Defendant

Viper Founders Fund, LP
Relief defendant

represented by **Ismail Jomo Ramsey**
(See above for address)
LEAD ATTORNEY
ATTORNEY TO BE NOTICED

Defendant

Viper Investments, L.P.
Relief defendant

represented by **Ismail Jomo Ramsey**
(See above for address)
LEAD ATTORNEY

*ATTORNEY TO BE NOTICED***Defendant****Albert Ehee***Relief defendant***Defendant****Robert Ehee***Relief defendant*represented by **Thomas B. Mayhew**Farella Braun & Martel
235 Montgomery Street
30th Fl.

San Francisco, CA 94104

415/954-4400

Fax: (415) 954-4480

Email: tmayhew@fbm.com

*LEAD ATTORNEY**ATTORNEY TO BE NOTICED***Arthur S. Greenspan**

Richards Kibbe & Orbe LLP

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New York, NY 10281

212-530-1816

Fax: 917-344-8816

Email: agreenspan@rkollp.com

*ATTORNEY TO BE NOTICED***Defendant****Jennifer Ehee***Relief defendant***Miscellaneous****AAG Roosevelt Fund, L.P.**represented by **Paul Joseph Byrne, Esq.**

NIXON PEABODY, LLP

Two Embarcadero Center, 27th Floor

San Francisco, CA 94111

(415) 984-8200

Email: pbyrne@nixonpeabody.com

ATTORNEY TO BE NOTICED

Date Filed	#	Docket Text
11/08/2006	1	COMPLAINT against all defendants (Fee waived; No Process). Filed by Securities and Exchange Commission. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	2	ADR SCHEDULING ORDER: Case Management Statement due by 2/9/2007. Case Management Conference set for 2/16/2007 02:00 PM. (Attachments: # <u>1</u> cmc order# <u>2</u> standing order)(ys, COURT STAFF)

		(Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006		CASE DESIGNATED for Electronic Filing. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	3	MOTION for Temporary Restraining Order and Order to Show Cause re Preliminary Injunction filed by Securities and Exchange Commission. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	4	MEMORANDUM in Support re [3] MOTION for Temporary Restraining Order filed by Securities and Exchange Commission. (Related document(s)[3]) (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	5	Ex Parte Application to file undeducted declaration under seal filed by Securities and Exchange Commission. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	6	Declaration of Richard Garman filed by Securities and Exchange Commission. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	7	Declaration of Betty Ferrero filed by Securities and Exchange Commission. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	8	Declaration of Kevin Bradford filed by Securities and Exchange Commission. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	9	Declaration of Xavier Carlos Vasquez in Support of [3] MOTION for Temporary Restraining Order filed by Securities and Exchange Commission. Part 1 of 3. (Related document(s)[3]) (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	10	Declaration of Xavier Carlos Vasquez in Support of [3] MOTION for Temporary Restraining Order filed by Securities and Exchange Commission. Part 2 of 3. (Redacted Version) (Related document(s)[3]) (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	11	Declaration of Xavier Carlos Vasquez in Support of [3] MOTION for Temporary Restraining Order filed by Securities and Exchange Commission. Part 3 of 3. (Related document(s)[3]) (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	12	ORDER by Judge Susan Illston granting [5] Ex Parte Application. Directing clerk to file unredacted declaration under seal. (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)
11/08/2006	13	Declaration of Xavier Carlos Vasquez in Support of [3] MOTION for Temporary Restraining Order filed by Securities and Exchange Commission. (part 2 of 3) FILED UNDER SEAL. (Related document(s)[3]) (ys, COURT STAFF) (Filed on 11/8/2006) (Entered: 11/08/2006)

11/08/2006	<u>14</u>	TEMPORARY RESTRAINING ORDER and Order to Show Cause. Hearing set for 11/15/06 at 4:00 p.m.. Any declarations, affidavits, points and authorities, or other submissions in support of, or in opposition to, the issuance of such an Order shall be filed with the Court and delivered to the Commissions' counsel and the offices of the defendants and/or their attorneys no later than 12:00 p.m. on 11/13/06. Any reply papers shall be filed with the Court and delivered to opposition counsel no later than 9:00 a.m. on 11/14/06 with hand service. Signed by Judge Susan Illston on 11/8/06. (ys, COURT STAFF) (Filed on 11/8/2006) Additional attachment(s) added on 11/28/2006 (ys, COURT STAFF). (Entered: 11/08/2006)
11/13/2006	<u>15</u>	STIPULATION re <u>14</u> Temporary Restraining Order,, <i>to Continue Order to Show Cause re Preliminary Injunction, Order Freezing Assets and Granting Other Relief (Rule 65 (b).)</i> by Securities and Exchange Commission. (Yun, John) (Filed on 11/13/2006) (Entered: 11/13/2006)
11/13/2006	<u>16</u>	STIPULATION re <u>15</u> Stipulation, <i>Signature Page for Jennifer Ehee for Stipulation to Continue Order to Show Cause re Preliminary Injunction, Order Freezing Assets and Granting Other Relief (Rule 65 (b).)</i> by Securities and Exchange Commission. (Yun, John) (Filed on 11/13/2006) (Entered: 11/13/2006)
11/14/2006	<u>17</u>	ORDER :Preliminary Injunction hearing set for 12/15/2006 09:00 AM.. Signed by Judge Illston on 11/13/06. (Attachments: # <u>1</u>)(ts, COURT STAFF) (Filed on 11/14/2006) (Entered: 11/14/2006)
11/21/2006	<u>18</u>	STIPULATION AND [PROPOSED] ORDER TO TRANSFER ASSETS OF RELIEF DEFENDANT ROBERT EHEE by Securities and Exchange Commission. (Vasquez, Xavier) (Filed on 11/21/2006) (Entered: 11/21/2006)
11/22/2006	<u>19</u>	STIPULATION AND [PROPOSED] ORDER FOR PRELIMINARY INJUNCTION AND OTHER RELIEF by Securities and Exchange Commission. (Vasquez, Xavier) (Filed on 11/22/2006) (Entered: 11/22/2006)
11/22/2006	<u>20</u>	ORDER re: assets. Signed by Judge Illston on 11/22/06. (ts, COURT STAFF) (Filed on 11/22/2006) (Entered: 11/22/2006)
11/22/2006	<u>21</u>	PRELIMINARY INJUNCTION, Motions terminated:. Signed by Judge Illston on 11/22/06. (ts, COURT STAFF) (Filed on 11/22/2006) Modified on 11/22/2006 (ts, COURT STAFF). 12/15/06 motion hearing vacated (Entered: 11/22/2006)
11/28/2006	<u>22</u>	CLERK'S NOTICE re: Failure to E-File documents #1, #3-13 by plaintiffs' counsel. (ys, COURT STAFF) (Filed on 11/28/2006) (Entered: 11/28/2006)
11/29/2006	<u>23</u>	NOTICE of Appearance by Ismail Jomo Ramsey (Ramsey, Ismail) (Filed on 11/29/2006) (Entered: 11/29/2006)
12/01/2006	<u>24</u>	CERTIFICATE OF SERVICE by Securities and Exchange Commission

		re 18 Stipulation and [Proposed] Order to Transfer Assets of Relief Defendant Robert Ehee (Vasquez, Xavier) (Filed on 12/1/2006) (Entered: 12/01/2006)
12/07/2006	25	NOTICE of Appearance by Thomas B. Mayhew and Arthur S. Greenspan (Application for Pro Hac Vice Admission Pending (Mayhew, Thomas) (Filed on 12/7/2006) (Entered: 12/07/2006)
12/07/2006	26	CERTIFICATE OF SERVICE by Robert Ehee re 25 Notice of Appearance Service on pro se Relief Defendants Albert Ehee and Jennifer Ehee (Mayhew, Thomas) (Filed on 12/7/2006) (Entered: 12/07/2006)
12/07/2006	27	MOTION for leave to appear in Pro Hac Vice of Arthur S. Greenspan (\$210.00, receipt #34611000284) filed by Robert Ehee. (ys, COURT STAFF) (Filed on 12/7/2006) (Entered: 12/08/2006)
12/07/2006		Proposed Order re [27] MOTION for leave to appear in Pro Hac Vice by Robert Ehee. (ys, COURT STAFF) (Filed on 12/7/2006) (Entered: 12/08/2006)
12/13/2006	28	MOTION to Related Case AAG Roosevelt Fund LP v. Edward Ehee, et al., Case No. C-06-7270 CRB filed by AAG Roosevelt Fund, L.P.. (Byrne, Paul) (Filed on 12/13/2006) (Entered: 12/13/2006)
12/13/2006	29	Proposed Order re 28 MOTION to Related Case AAG Roosevelt Fund LP v. Edward Ehee, et al., Case No. C-06-7270 CRB by AAG Roosevelt Fund, L.P.. (Byrne, Paul) (Filed on 12/13/2006) (Entered: 12/13/2006)
12/13/2006	30	CERTIFICATE OF SERVICE by Securities and Exchange Commission re 19 Stipulation and [Proposed] Order for Preliminary Injunction and Other Relief (Vasquez, Xavier) (Filed on 12/13/2006) (Entered: 12/13/2006)
12/18/2006	33	ORDER by Judge Susan Illston granting [27] Motion for Pro Hac Vice. (ys, COURT STAFF) (Filed on 12/18/2006) (Entered: 12/19/2006)
12/19/2006	31	CERTIFICATE OF SERVICE by AAG Roosevelt Fund, L.P. re 28 MOTION to Related Case AAG Roosevelt Fund LP v. Edward Ehee, et al., Case No. C-06-7270 CRB, 29 Proposed Order Proof of Service on Edward Ehee of Motion/Proposed Order (Byrne, Paul) (Filed on 12/19/2006) (Entered: 12/19/2006)
12/19/2006	32	CERTIFICATE OF SERVICE by AAG Roosevelt Fund, L.P. re 28 MOTION to Related Case AAG Roosevelt Fund LP v. Edward Ehee, et al., Case No. C-06-7270 CRB, 29 Proposed Order Proof of Service on Jennifer Ehee (Byrne, Paul) (Filed on 12/19/2006) (Entered: 12/19/2006)
01/08/2007	34	ANSWER to Complaint with Jury Demand by Viper Capital Management, LLC, Compass Fund Management, LLC, Edward Sewon Ehee. (Ramsey, Ismail) (Filed on 1/8/2007) (Entered: 01/08/2007)
01/09/2007	35	ORDER RELATING CASE.. Signed by Judge Illston on 1/8/07. (ts, COURT STAFF) (Filed on 1/9/2007) (Entered: 01/09/2007)

01/19/2007	36	ANSWER to Complaint by Robert Ehee. (Greenspan, Arthur) (Filed on 1/19/2007) (Entered: 01/19/2007)
01/19/2007	37	CERTIFICATE OF SERVICE by Robert Ehee <i>in connection with Answer of Relief Defendant Robert Ehee</i> (Greenspan, Arthur) (Filed on 1/19/2007) (Entered: 01/19/2007)
01/24/2007	38	CLERK'S NOTICE Case Management Conference set for 2/23/2007 02:00 PM. (ts, COURT STAFF) (Filed on 1/24/2007) (Entered: 01/24/2007)
01/26/2007	39	ADR Certification (ADR L.R. 3-5b) of discussion of ADR options (Yun, John) (Filed on 1/26/2007) (Entered: 01/26/2007)
01/26/2007	40	NOTICE of need for ADR Phone Conference (ADR L.R. 3-5 d) (Yun, John) (Filed on 1/26/2007) (Entered: 01/26/2007)
02/09/2007	41	ADR Clerks Notice Setting ADR Phone Conference on 2/21/07 at 10:30 a.m. Please take note that plaintiff's counsel initiates the call to all parties. (tjs, COURT STAFF) (Filed on 2/9/2007) (Entered: 02/09/2007)
02/09/2007	42	JOINT CASE MANAGEMENT STATEMENT <i>and Proposed Order</i> filed by Securities and Exchange Commission. (Vasquez, Xavier) (Filed on 2/9/2007) (Entered: 02/09/2007)
02/21/2007		ADR Remark: ADR Phone Conference conducted on 2/21/07 by HAH. (tjs, COURT STAFF) (Filed on 2/21/2007) (Entered: 02/21/2007)
02/27/2007	43	Minute Entry: Initial Case Management Conference held on 2/23/2007 before Illston (Date Filed: 2/27/2007). Case continued to 7/31/07 @ 3:30 p.m. for Pretrial Conference Case continued to 8/13/07 @ 8:30 a.m. for Trial (court trial re: remedies : 2 Days) Discovery Cutoff: Designate Experts by:, Rebuttal Experts:, Expert Discovery Cutoff: ORDERED AFTER HEARING: This case shall be referred to a magistrate-judge for settlement purposes. The settlement conference is to occur in May 2007() (ts, COURT STAFF) (Date Filed: 2/27/2007) (Entered: 02/27/2007)
02/27/2007	44	PRETRIAL ORDER. Signed by Judge Illston on 2/26/07. (Attachments: # 1)(ts, COURT STAFF) (Filed on 2/27/2007) (Entered: 02/27/2007)
02/27/2007		Set Deadlines/Hearings: Bench Trial set for 8/13/2007 08:30 AM. Pretrial Conference set for 7/31/2007 03:30 PM. (ys, COURT STAFF) (Filed on 2/27/2007) (Entered: 02/27/2007)
03/06/2007		CASE REFERRED to Magistrate Judge Bernard Zimmerman for Settlement (wh, COURT STAFF) (Filed on 3/6/2007) (Entered: 03/06/2007)
03/09/2007	45	ORDER SCHEDULING SETTLEMENT CONFERENCE. Signed by Magistrate Judge Bernard Zimmerman on 3/9/2007. (bzsec, COURT STAFF) (Filed on 3/9/2007) (Entered: 03/09/2007)
03/09/2007		Set Deadlines/Hearings: Settlement Conference before Magistrate Judge Zimmerman set for 5/3/2007 10:00 AM. (ys, COURT STAFF) (Filed on

	3/9/2007) (Entered: 03/12/2007)
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